

**ALLOWABLE EX PARTE COMMUNICATION BRIEFING  
CERTIFIED STATEMENT  
(Attendee)**

THIS CERTIFICATION IS TO:

- BE SIGNED BY **EACH** BRIEFING ATTENDEE **EXCEPT** COMMISSIONERS AND PUBLIC SERVICE COMMISSION EMPLOYEES, AND
- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1401 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY-EIGHT HOURS** OF THIS BRIEFING.

Name: <i>Sidney Dillard</i>	Date of Meeting: Tuesday, December 18, 2018
Occupation: <i>Investment Banker</i>	<u>ND-2018-33-EC</u> – TAS Strategies; Drexel Hamilton, LLC; Loop Capital Markets; Ramirez & Company and Williams Capital Markets Group – Request for an Allowable Ex Parte Briefing
Attending on behalf of/for: <i>Loop Capital Markets</i>	<u>Matter:</u> SOUTH CAROLINA UTILITY SUPPLIER DIVERSITY IN FINANCIAL SERVICES

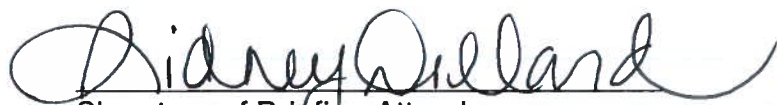
By signing this Certification, I certify that:

1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [§58-3-260(C)(6)(a)(iii)]
2. I have accurately summarized the discussions occurring during the briefing in full either in the space below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. [§58-3-260(C)(6)(a)(ii)]

<ul style="list-style-type: none"> <li>• Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter</li> </ul>

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [§58-3-260(C)(6)(a)(ii)]

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Signature of Briefing Attendee

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Name: <i>KAY O'CONNOR</i>	Date of Meeting: Tuesday, December 18, 2018 <i>12/18/18</i>
Occupation: <i>BANKER</i>	<u>ND-2018-33-EC</u> – TAS Strategies; Drexel Hamilton, LLC; Loop Capital Markets; Ramirez & Company and Williams Capital Markets Group – Request for an Allowable Ex Parte Briefing
Attending on behalf of/for: <i>Ramirez + Co</i>	<u>Matter:</u> SOUTH CAROLINA UTILITY SUPPLIER DIVERSITY IN FINANCIAL SERVICES


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Name: <b>DAVID FINKELSTEIN</b>	Date of Meeting: Tuesday, December 18, 2018
Occupation: <b>BANKER</b>	<b>ND-2018-33-EC</b> – TAS Strategies; Drexel Hamilton, LLC; Loop Capital Markets; Ramirez & Company and Williams Capital Markets Group – Request for an Allowable Ex Parte Briefing
Attending on behalf of/for: <b>THE WILLIAMS CAPITAL GROUP LP</b>	<b>Matter:</b> SOUTH CAROLINA UTILITY SUPPLIER DIVERSITY IN FINANCIAL SERVICES

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Name: <i>Jeremy Traska</i>	Date of Meeting: Tuesday, December 18, 2018 <i>12/18/18</i>
Occupation: <i>Banker</i>	<u>ND-2018-33-EC</u> – TAS Strategies; Drexel Hamilton, LLC; Loop Capital Markets; Ramirez & Company and Williams Capital Markets Group – Request for an Allowable Ex Parte Briefing
Attending on behalf of/for: <i>Drexel Hamilton</i>	<u>Matter:</u> SOUTH CAROLINA UTILITY SUPPLIER DIVERSITY IN FINANCIAL SERVICES

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Name: Hope H. Adams	Date of Meeting: Tuesday, December 18, 2018
PSC Position Title: Administrative Coordinator	<b>ND-2018-33-EC – TAS Strategies; Drexel Hamilton, LLC; Loop Capital Markets; Ramirez &amp; Company and Williams Capital Markets Group – Request for an Allowable Ex Parte Briefing</b> <b>Matter: SOUTH CAROLINA UTILITY SUPPLIER DIVERSITY IN FINANCIAL SERVICES</b>

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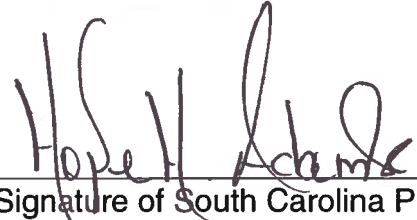
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This concludes my Certified Statement.

  
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Signature of South Carolina Public Service  
Commissioner or Commission Employee

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Name: <i>Jay R. Jashinsky, CPA</i>	Date of Meeting: Tuesday, December 18, 2018
Occupation: <i>Auditor</i>	<u>ND-2018-33-EC</u> – TAS Strategies; Drexel Hamilton, LLC; Loop Capital Markets; Ramirez & Company and Williams Capital Markets Group – Request for an Allowable Ex Parte Briefing
Attending on behalf of/for: <i>SC ORS</i>	<u>Matter:</u> SOUTH CAROLINA UTILITY SUPPLIER DIVERSITY IN FINANCIAL SERVICES

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Name: <i>Elizabeth Ardne</i>	Date of Meeting: Tuesday, December 18, 2018
Occupation: <i>Economist</i>	ND-2018-33-EC – TAS Strategies; Drexel Hamilton, LLC; Loop Capital Markets; Ramirez & Company and Williams Capital Markets Group – Request for an Allowable Ex Parte Briefing
Attending on behalf of/for: <i>ORS</i>	Matter: SOUTH CAROLINA UTILITY SUPPLIER DIVERSITY IN FINANCIAL SERVICES

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Name:	Date of Meeting: Tuesday, December 18, 2018
Timothy Alan Simon	
Occupation:	ND-2018-33-EC – TAS Strategies; Drexel Hamilton, LLC; Loop Capital Markets; Ramirez & Company and Williams Capital Markets Group – Request for an Allowable Ex Parte Briefing
Attorney/Consultant	
Attending on behalf of/for:	Matter: SOUTH CAROLINA UTILITY SUPPLIER DIVERSITY IN FINANCIAL SERVICES
TAS STRATEGIES	

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Name: Justin T. Williams	Date of Meeting: Tuesday, December 18, 2018
PSC Position Title:  Commissioner	<u>ND-2018-33-EC</u> – TAS Strategies; Drexel Hamilton, LLC; Loop Capital Markets; Ramirez & Company and Williams Capital Markets Group – Request for an Allowable Ex Parte Briefing  <u>Matter:</u> SOUTH CAROLINA UTILITY SUPPLIER DIVERSITY IN FINANCIAL SERVICES

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<p><i>This was a financial presentation about using minority firm.</i></p>

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Signature of South Carolina Public Service  
Commissioner or Commission Employee

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Name: G. O'Neal Hamilton	Date of Meeting: Tuesday, December 18, 2018
PSC Position Title:  Commissioner	<u>ND-2018-33-EC</u> – TAS Strategies; Drexel Hamilton, LLC; Loop Capital Markets; Ramirez & Company and Williams Capital Markets Group – Request for an Allowable Ex Parte Briefing <u>Matter:</u> SOUTH CAROLINA UTILITY SUPPLIER DIVERSITY IN FINANCIAL SERVICES

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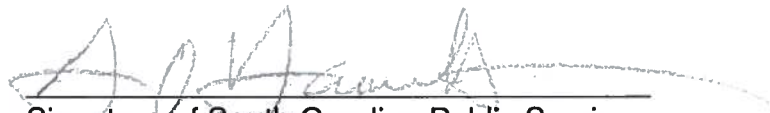
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Name: Elliott F. Elam, Jr.	Date of Meeting: Tuesday, December 18, 2018
PSC Position Title:  Vice Chairman	<u>ND-2018-33-EC</u> – TAS Strategies; Drexel Hamilton, LLC; Loop Capital Markets; Ramirez & Company and Williams Capital Markets Group – Request for an Allowable Ex Parte Briefing  <u>Matter:</u> SOUTH CAROLINA UTILITY SUPPLIER DIVERSITY IN FINANCIAL SERVICES

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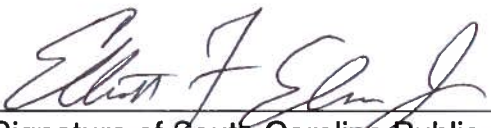
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Name: Comer H. Randall	Date of Meeting: Tuesday, December 18, 2018
PSC Position Title:  Chairman	<u>ND-2018-33-EC</u> – TAS Strategies; Drexel Hamilton, LLC; Loop Capital Markets; Ramirez & Company and Williams Capital Markets Group – Request for an Allowable Ex Parte Briefing <u>Matter:</u> SOUTH CAROLINA UTILITY SUPPLIER DIVERSITY IN FINANCIAL SERVICES

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This concludes my Certified Statement.

A handwritten signature in dark ink, appearing to read "Com H. Raul", written over a horizontal line.

Signature of South Carolina Public Service  
Commissioner or Commission Employee

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Name: Swain E. Whitfield	Date of Meeting: Tuesday, December 18, 2018
PSC Position Title:  Commissioner	<u>ND-2018-33-EC</u> – TAS Strategies; Drexel Hamilton, LLC; Loop Capital Markets; Ramirez & Company and Williams Capital Markets Group – Request for an Allowable Ex Parte Briefing  <u>Matter:</u> SOUTH CAROLINA UTILITY SUPPLIER DIVERSITY IN FINANCIAL SERVICES

By signing this Certification, I certify that:

1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [S.C. Code Ann. §58-3-260(C)(6)(a)(iii)]
2. I have accurately summarized the discussions occurring during the briefing in full either in the space below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. [S.C. Code Ann. §58-3-260(C)(6)(a)(ii)]

<ul style="list-style-type: none"> <li>• Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter</li> </ul>

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [S.C. Code Ann. §58-3-260(C)(6)(a)(ii)]

4. I will comply with State law requiring me to grant to every other party or person requesting an allowable ex parte communication briefing on the same or similar matter that is or can reasonably be expected to become an issue in a proceeding, similar access and a reasonable opportunity to communicate, directly or indirectly, regarding any fact, law, or other matter that is or can reasonably be expected to become an issue in a proceeding under the provisions of subsection S.C. Code Ann. §58-3-260(C)(6). [S.C. Code Ann. §58-3-260(C)(6)(a)(iv)]

This concludes my Certified Statement.



Signature of South Carolina Public Service  
Commissioner or Commission Employee

Date: December 18, 2018

**ALLOWABLE EX PARTE COMMUNICATION BRIEFING**  
**CERTIFIED STATEMENT**  
**(Commissioner/Commission Employee)**

THIS CERTIFICATION IS TO:

- BE SIGNED AND COMPLETED BY **EACH** COMMISSIONER AND PUBLIC SERVICE COMMISSION EMPLOYEES ATTENDING THE BRIEFING, AND
- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1401 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY-EIGHT HOURS** OF THIS BRIEFING.

Name: Joseph M. Melchers	Date of Meeting: Tuesday, December 18, 2018
PSC Position Title:  General Counsel	<u>ND-2018-33-EC</u> – TAS Strategies; Drexel Hamilton, LLC; Loop Capital Markets; Ramirez & Company and Williams Capital Markets Group – Request for an Allowable Ex Parte Briefing <u>Matter:</u> SOUTH CAROLINA UTILITY SUPPLIER DIVERSITY IN FINANCIAL SERVICES

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Name: Douglas K. Pratt	Date of Meeting: Tuesday, December 18, 2018
PSC Position Title:	<u>ND-2018-33-EC</u> – TAS Strategies; Drexel Hamilton, LLC; Loop Capital Markets; Ramirez & Company and Williams Capital Markets Group – Request for an Allowable Ex Parte Briefing <u>Matter:</u> SOUTH CAROLINA UTILITY SUPPLIER DIVERSITY IN FINANCIAL SERVICES

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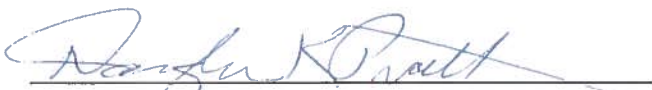
1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [S.C. Code Ann. §58-3-260(C)(6)(a)(iii)]
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Name: Randy Erskine	Date of Meeting: Tuesday, December 18, 2018
PSC Position Title:  IT Manager	<u>ND-2018-33-EC</u> – TAS Strategies; Drexel Hamilton, LLC; Loop Capital Markets; Ramirez & Company and Williams Capital Markets Group – Request for an Allowable Ex Parte Briefing  <u>Matter:</u> SOUTH CAROLINA UTILITY SUPPLIER DIVERSITY IN FINANCIAL SERVICES

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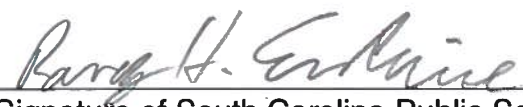
1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [S.C. Code Ann. §58-3-260(C)(6)(a)(iii)]
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Name: Jo M. Wheat	Date of Meeting: Tuesday, December 18, 2018
PSC Position Title:  Court Reporter	<u>ND-2018-33-EC</u> – TAS Strategies; Drexel Hamilton, LLC; Loop Capital Markets; Ramirez & Company and Williams Capital Markets Group – Request for an Allowable Ex Parte Briefing <u>Matter:</u> SOUTH CAROLINA UTILITY SUPPLIER DIVERSITY IN FINANCIAL SERVICES

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Name: Deborah Easterling	Date of Meeting: Tuesday, December 18, 2018
PSC Position Title: Executive Assistant	<b>ND-2018-33-EC</b> – TAS Strategies; Drexel Hamilton, LLC; Loop Capital Markets; Ramirez & Company and Williams Capital Markets Group – Request for an Allowable Ex Parte Briefing <b>Matter:</b> SOUTH CAROLINA UTILITY SUPPLIER DIVERSITY IN FINANCIAL SERVICES

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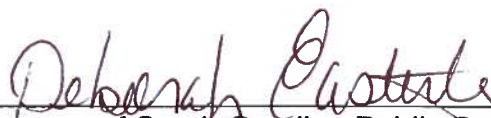
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